

Learning from Constraints

Bridging perception and symbolic reasoning



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Outline

- Environment and constraints
- Learning from (given) constraints
- Case studies
- Developmental agents

Environment and constraints



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Labeled examples are constraints ...

classic learning from examples

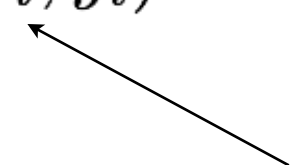
$$x \in \mathcal{X}$$

$$\epsilon - \theta_j \left\| y_j(x) - f_j(x) \right\|_p \geq 0$$

examples can be sets

$$\mathcal{E}_L = \left\{ (\mathcal{X}_i, y_i) \in 2^{\mathcal{X}} \times \mathcal{Y}, i = 1, \dots, m \right\}$$

as this becomes a box, the pair is a proposition



Diagnosis and prognosis in medicine

Pima Indian Diabetes Dataset

$(MASS \geq 30) \wedge (PLASMA \geq 126) \Rightarrow \textit{positive}$

$(MASS \leq 25) \wedge (PLASMA \leq 100) \Rightarrow \textit{negative}$

body mass index

blood glucose

Wisconsin Breast Cancer Prognosis

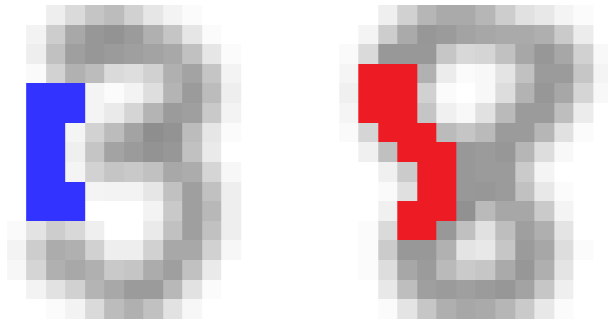
$(SIZE \geq 4) \wedge (NODES \geq 5) \Rightarrow \textit{recurrent}$

$(SIZE \leq 1.9) \wedge (NODES = 0) \Rightarrow \textit{non recurrent}$

diameter of the tumor

number of metastasized lymph nodes

Handwritten char discrimination



GRAYLEVEL >220 in blue region $\Rightarrow 3$

GRAYLEVEL <160 in red region $\Rightarrow 8$.

blue region: a selection of (blue) coordinates out of the $256=16*16$

red region: a selection of (red) coordinates out of the $256=16*16$

Text categorization

graphic \wedge pixel \wedge bitmap \Rightarrow comp.graphics \vee comp.sys.ibm.pc.hardware

keywords: input level

categories: decision level

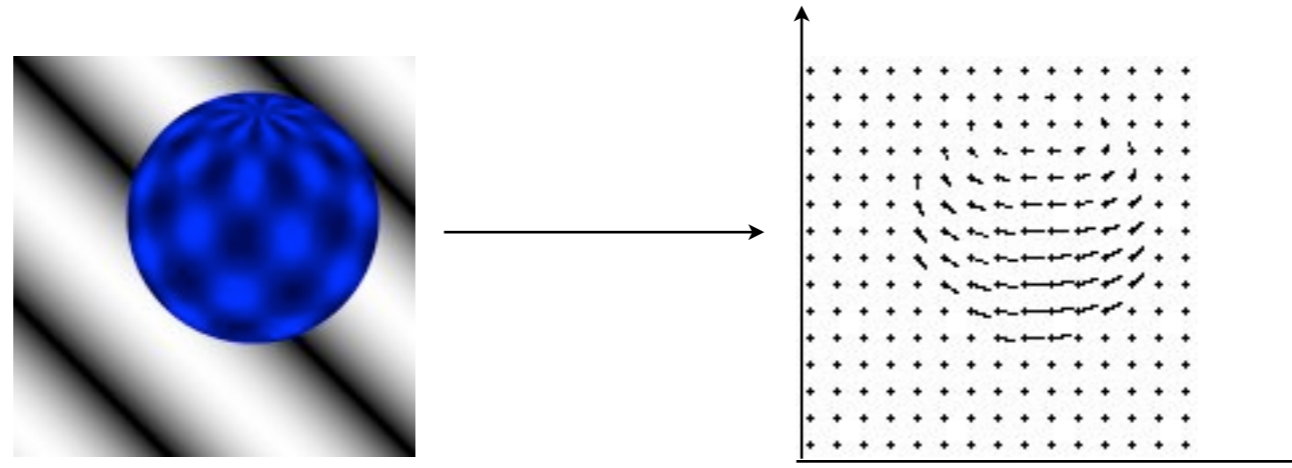
i. $\forall x \forall y \ x \bowtie y \Leftrightarrow a(x) = a(y)$ \longleftarrow docs of the same author

ii. $\forall x \ c_1(x) \wedge c_2(x) \Rightarrow c_3(x)$

iii. $\forall x \ c_3(x) \Rightarrow c_4(x).$

categories: decision level

Optical flow



$E(x, y, t)$ is constant

$$u = \dot{x}, \quad v = \dot{y}$$

$$\forall t \quad \forall x \quad \forall y \quad \frac{\partial E}{\partial x} u + \frac{\partial E}{\partial y} v + \frac{\partial E}{\partial t} = 0$$

(Logic) constraints

... given in terms of real numbers ...

$$\forall x \quad a(x) \wedge b(x) \Rightarrow c(x)$$

$$\neg(a(x) \wedge b(x)) \vee c(x)$$
$$\neg\neg(\neg(a(x) \wedge b(x)) \wedge c(x))$$

$$\neg(a(x) \wedge b(x) \wedge \neg c(x))$$

p-norm

$$1 - f_a(x) \cdot f_b(x) \cdot (1 - f_c(x)) = 1$$

$$f_a(x) f_b(x) (1 - f_c(x)) = 0$$

(Logic) constraints (con't)

$$\forall x \quad a(x) \wedge b(x) \Rightarrow c(x)$$

$$\neg(a(x) \wedge b(x) \wedge \neg c(x))$$

Gödel T-norm

$$1 - \min \{f_a(x), f_b(x), 1 - f_c(x)\} = 1$$

$$\min \{f_a(x), f_b(x), 1 - f_c(x)\} = 0$$

Equivalence

$$\begin{aligned}\check{\phi}_1(f, y) &= \epsilon - |y - f| \geq 0 & f \in \check{\mathcal{F}}_1 \\ \check{\phi}_2(f, y) &= \epsilon^2 - (y - f)^2 \geq 0 & f \in \check{\mathcal{F}}_2\end{aligned}$$

the same admissible functional space! $\check{\mathcal{F}}_1 = \check{\mathcal{F}}_2$

$$\check{\phi}_1 \sim \check{\phi}_2 \Leftrightarrow \check{\mathcal{F}}_1 = \check{\mathcal{F}}_2$$

$$\mathcal{F} / \sim = \{ \phi \in \mathcal{F} : \phi \sim [\phi] \}$$



quotient set



representer

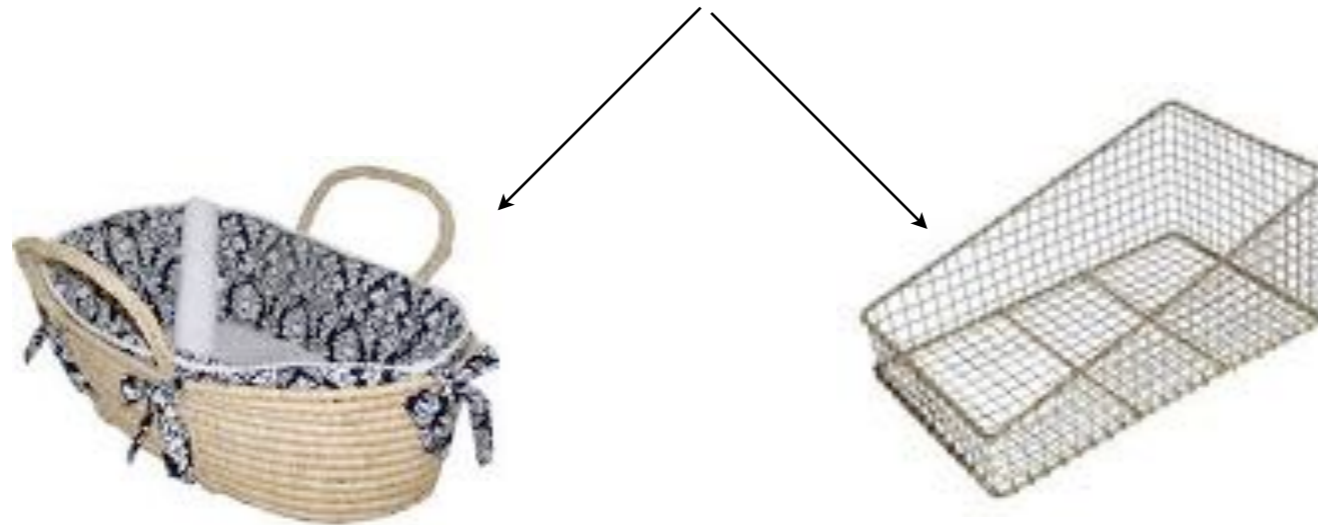
Learning from (given) constraints



Learning: “the simplest solution” compatible with the constraints

Ambient space

Where do I look for the solution?



RKHS

$$\mathcal{X} \subset \mathbb{R}^d \quad f = [f_1, \dots, f_n]' \quad f_j : \mathcal{X} \rightarrow \mathbb{R}$$
$$\forall j \in \mathbb{N}_n : f_j \in W^{k,p}$$

\mathcal{X}^*

Search in Sobolev spaces:

it is related to the topic of learning kernels!

Semi-norm in Sobolev spaces

... parsimony principle in learning ...

$$P = \sum_{|\alpha| < m} a_\alpha D_x^\alpha = \sum_{|\alpha| < m} a_\alpha \left(\frac{\partial}{\partial x_1} + \dots + \frac{\partial}{\partial x_d} \right)^\alpha$$

\searrow ∞ \searrow $a_\alpha \in C^\infty$

under proper boundary conditions ...

$$P = \sum_{h=0}^m a_h \sum_{|\alpha|=h} \frac{h!}{\alpha!} \left(\frac{\partial}{\partial x} \right)^\alpha$$

$$P^* = \sum_{h=0}^m (-1)^h a_h \sum_{|\alpha|=h} \frac{h!}{\alpha!} \left(\frac{\partial}{\partial x} \right)^\alpha$$

Given P and $\gamma_i > 0, \dots, i = 1, \dots, n$

$$E(f) = \| f \|_{P,\gamma} = \sum_{j=1}^n \gamma_j \langle P f_j, P f_j \rangle = \sum_{j=1}^n \gamma_j \langle f_j, P^* P f_j \rangle = \sum_{j=1}^n \gamma_j \langle f_j, L f_j \rangle$$

Parsimony Principle

Occam's razor, *lex parsimoniae*

\mathcal{F}_ϕ admissible w.r.t the collection of constraints \mathcal{C}_ϕ

$$f^* = \operatorname{argmin}_{f \in \mathcal{F}_\phi} \|f\|_{P,\gamma}$$

strictly (hard)

partially (soft)

check of a “new” constraint

$$\forall x \quad \phi(x, f^*(x), Df^*(x)) = 0 ?$$

Representation (hard constraints)

from constrained variational calculus

$$\forall x \in \mathcal{X}_i \subset X : \phi_i(x, f(x)) = 0, \quad i \in \mathbb{N}_m \quad \frac{D(\phi_1, \dots, \phi_m)}{D(f_1, \dots, f_m)} \neq 0$$

$$\mathcal{L}(f) = \|f\|_{P,\gamma}^2 + \sum_{i=1}^m \int_{\mathcal{X}} \lambda_i(x) \cdot \phi_i(x, f(x)) dx$$

Lagrangian approach

$$Lf(x) + \sum_{i=1}^m \lambda_i(x) \cdot \nabla_f \phi_i(x, f(x)) = 0$$

Euler-Lagrange equations

$$Lg = \delta \quad \text{Green function}$$

$$\omega_i(\cdot) = -\lambda_i(\cdot) \nabla_f \phi_i(\cdot, f^*(\cdot))$$

reaction of the constraint

support constraints

$$f^*(\cdot) = \sum_{i=1}^m g(\cdot) \otimes \omega_i(f^*(\cdot))$$

Fredholm eq. (II kind)

“merging of two ideas ...”

Lagrange multipliers and probability density

Unilateral constraints

hard constraints

$$\forall x \in \mathcal{X}_i \subset X : \phi_i(x, f(x)) = 0, i \in \mathbb{N}_m$$

$$\mathcal{L}(f) = \|f\|_{P,\gamma}^2 + \sum_{i=1}^m \int_{\mathcal{X}} \lambda_i(x) \check{\phi}_i(x, f(x)) dx$$

soft constraints

$$\mathcal{L}(f) = \|f\|_{P,\gamma}^2 + C \sum_{i=1}^m \int_{\mathcal{X}} p_i(x) \check{\phi}_i(x, f(x)) dx$$

Two remarkable “examples”

Optical flow (*Horn and Schunck (1981)*)

$$\frac{\partial E}{\partial x}u + \frac{\partial E}{\partial y}v + \frac{\partial E}{\partial t} = 0$$

$$\int_X \int_X \left[\left(\frac{\partial u}{\partial x} \right)^2 + \left(\frac{\partial u}{\partial y} \right)^2 + \left(\frac{\partial v}{\partial x} \right)^2 + \left(\frac{\partial v}{\partial y} \right)^2 \right] dx dy$$

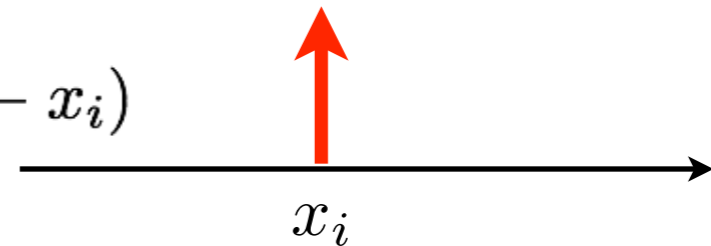
Learning from examples (*Poggio and Girosi (1989)*)

$$E(f) = C \sum_{i=1}^m V(x_i, f(x_i)) + \frac{1}{2} \langle Pf, Pf \rangle$$

Where do kernel machines come from ...

$$Lf^* + C \sum_{i=1}^m V'_f(x_i, f^*(x_i)) \delta(x - x_i) = 0$$

$$\omega_i(f^*(x)) = -C \cdot V'_f(x_i, f^*(x_i)) \cdot \delta(x - x_i)$$



reaction of the constraint

$$f^*(x) = \sum_{i=1}^m \alpha_i g(x, x_i) \quad \text{finite convolution}$$

When kernels arise from regularization operators ...

$Lg = \delta$ Green function / “plain kernel”

$$L = d^4/dx^4$$

$$g(x) = |x|^3$$

$$L = (\sigma^2 I - \nabla^2)^n$$

Sobolev spline kernel

$$L = \sum_{\kappa=0}^{\infty} (-1)^{\kappa} \frac{\sigma^{2\kappa}}{\kappa! 2^{\kappa}} \nabla^{2\kappa}$$

Gaussian kernel

Polynomial kernels don't come from regularization operators!

New Kernels (from prior knowledge)

(plain) kernel

structure from constraints

what you partially miss in RKHS

$$g(\cdot) \otimes \check{g}(x_j, \cdot) \otimes \nabla_f \phi_i(\cdot, f^*(\cdot))$$

reaction

... distributional degeneration ...

quantization operator

$$\check{g}(x_j, x) = \delta(x - x_j)$$

$$f^*(\cdot) = - \sum_{i=1}^m \sum_{j=1}^{\ell} \lambda_j \cdot g(\cdot) \otimes \delta(\cdot - x_j) \otimes \nabla_f \phi_i(\cdot, f^*(\cdot))$$

$$= - \sum_{i=1}^m \sum_{j=1}^{\ell} \lambda_j \cdot g(\cdot - x_j) \otimes \nabla_f \phi_i(\cdot, f^*(\cdot))$$

Putting things in context by unsupervised data

- i. more accurate description of reality
- ii. simplified math and the birth of ∇_p

Algorithmic issues

kernel-based representation

$$g(\cdot) \otimes \check{g}(x_j, \cdot) \otimes \nabla_f \phi_i(\cdot, f^*(\cdot))$$

kernel machines math &
algorithmic apparatus

fixed-point iteration algorithms

$$\omega_i(f^*) = -\lambda_i(\cdot) \nabla_f \phi_i(\cdot, f^*(\cdot))$$

$$f^* = \sum_{i=1}^m g \otimes \omega_i(f^*)$$

$$\forall \mathcal{X}_i \quad \phi_i(x, f^*(x)) = 0$$

Reduction to kernels

direct computation of the constraint reaction

- Learning from examples
- Learning from sets (propositions) - box kernels
- Linear constraints
- Quadratic constraints (Fredholm linear equation)
- Poly constraints via auxiliary functions & quadratic constraints

Case studies



Where one faces the problem of determining the constraint reactions ...

Preliminary “walk-through”

- diagnosis, prognosis in medicine (Pima Indian Diabetes Dataset, Wisconsin Breast Cancer Prognosis)
- handwritten chars (USPST)
- tagging (Flickr)
- asset allocation in finance

Multi-intervals

Back to kernels (under some hyp)!

$$\phi_i(x, f(x)) := \max \{0, 1 - y_i f(x_i)\} \cdot c_{\chi_i}(x)$$

$$\omega_i = -\lambda \nabla \phi(x, f(x)) \propto c_{\chi_i}(x)$$



sign consistency uniform weight reaction

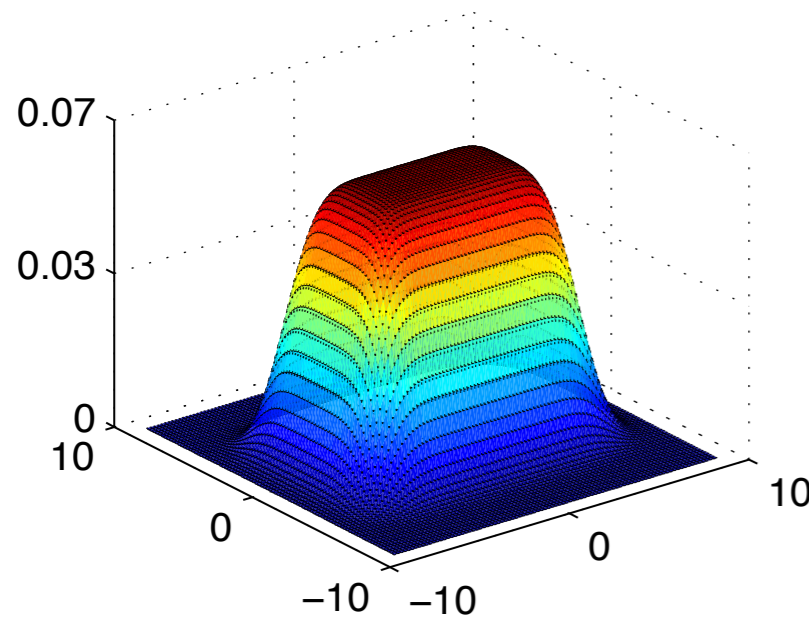
$$g \otimes c_{\chi_i} \leftarrow \text{constraint reaction}$$

box kernels!

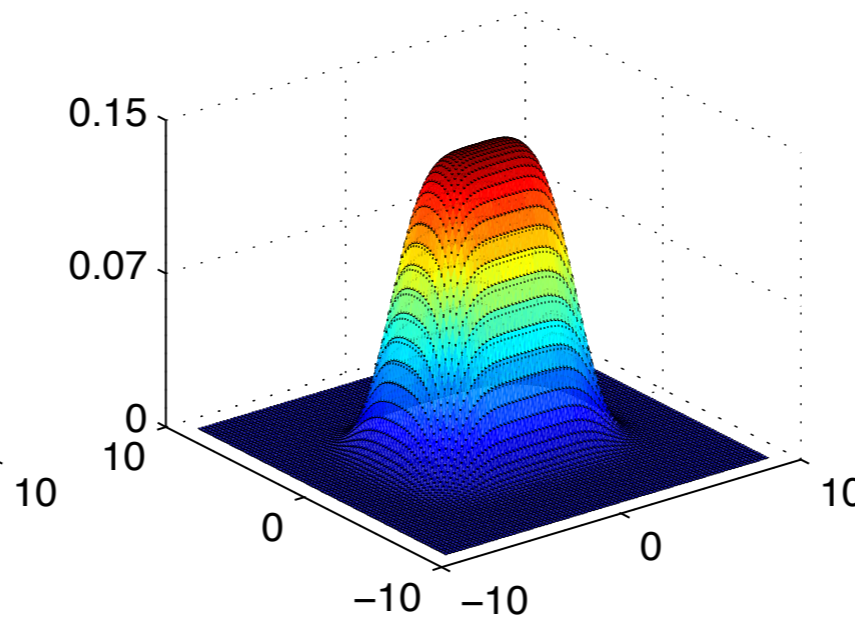
the case of soft-constraints ...

$g \otimes c\chi_i$ Box kernels

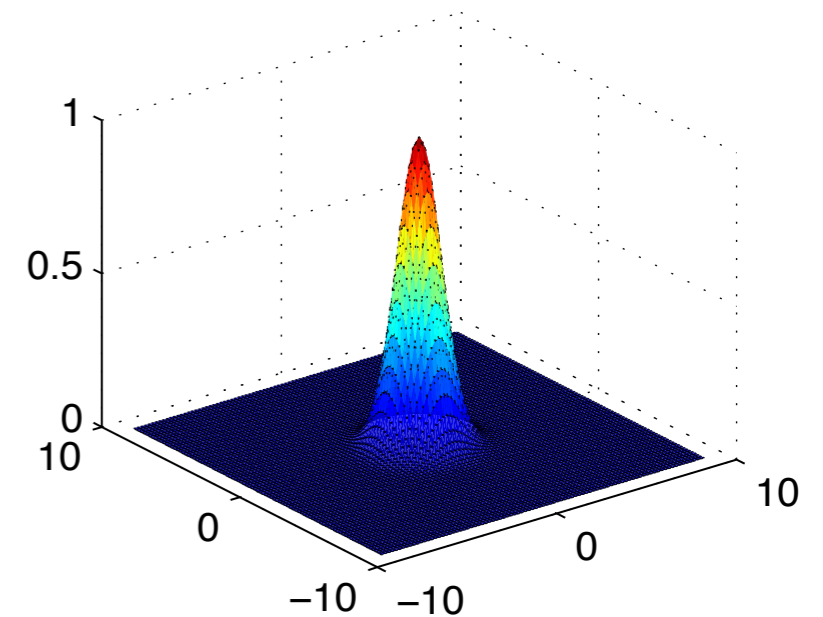
Gaussian (plain kernel) $\Rightarrow \prod_{i=1}^d \frac{(\sqrt{2\pi}\sigma)}{2} \left(\operatorname{erfc}\left(\frac{x^i - b_j^i}{\sqrt{2}\sigma}\right) - \operatorname{erfc}\left(\frac{x^i - a_j^i}{\sqrt{2}\sigma}\right) \right)$



$$[-6, -4] \times [6, 4]$$



$$[-3, -2] \times [3, 2]$$

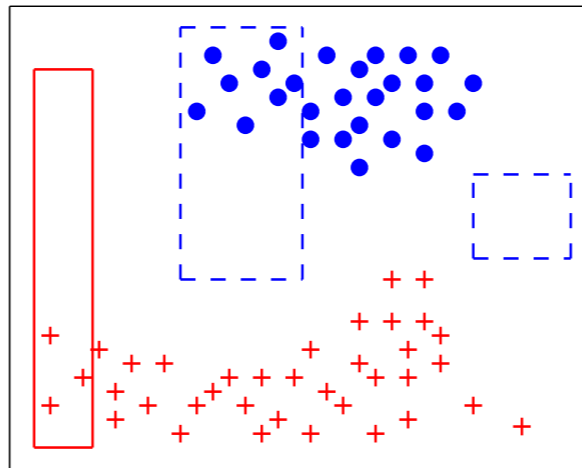


degeneration to the Gaussian

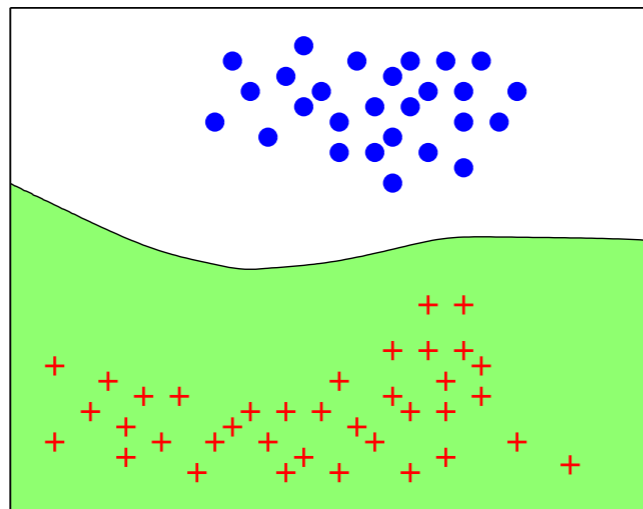
$$(0, 0)$$

plain kernel (Gaussian) + multi-interval knowledge = box kernel

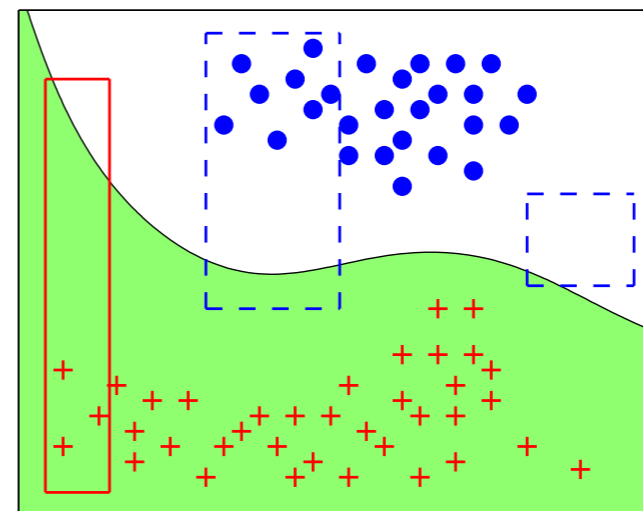
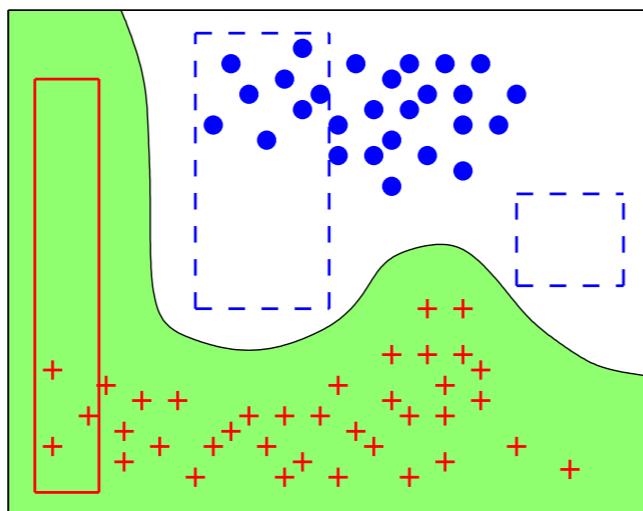
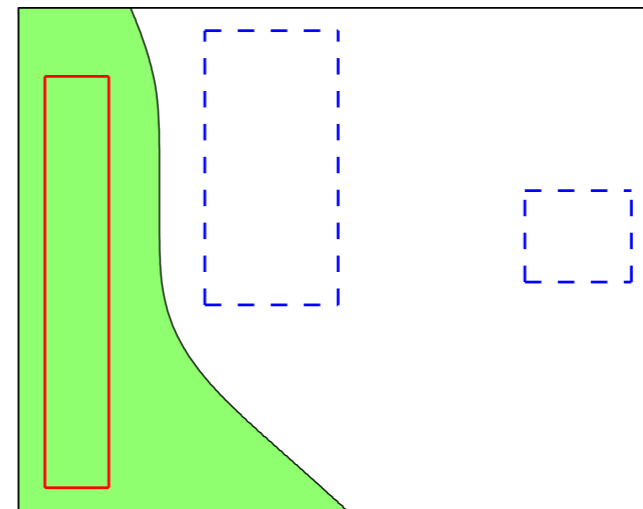
response to the “rectangular impulse”



points only



boxes only



changing the regularization parameter

Perceptual and logic constraints ...

Back to kernels (soft-constraints)

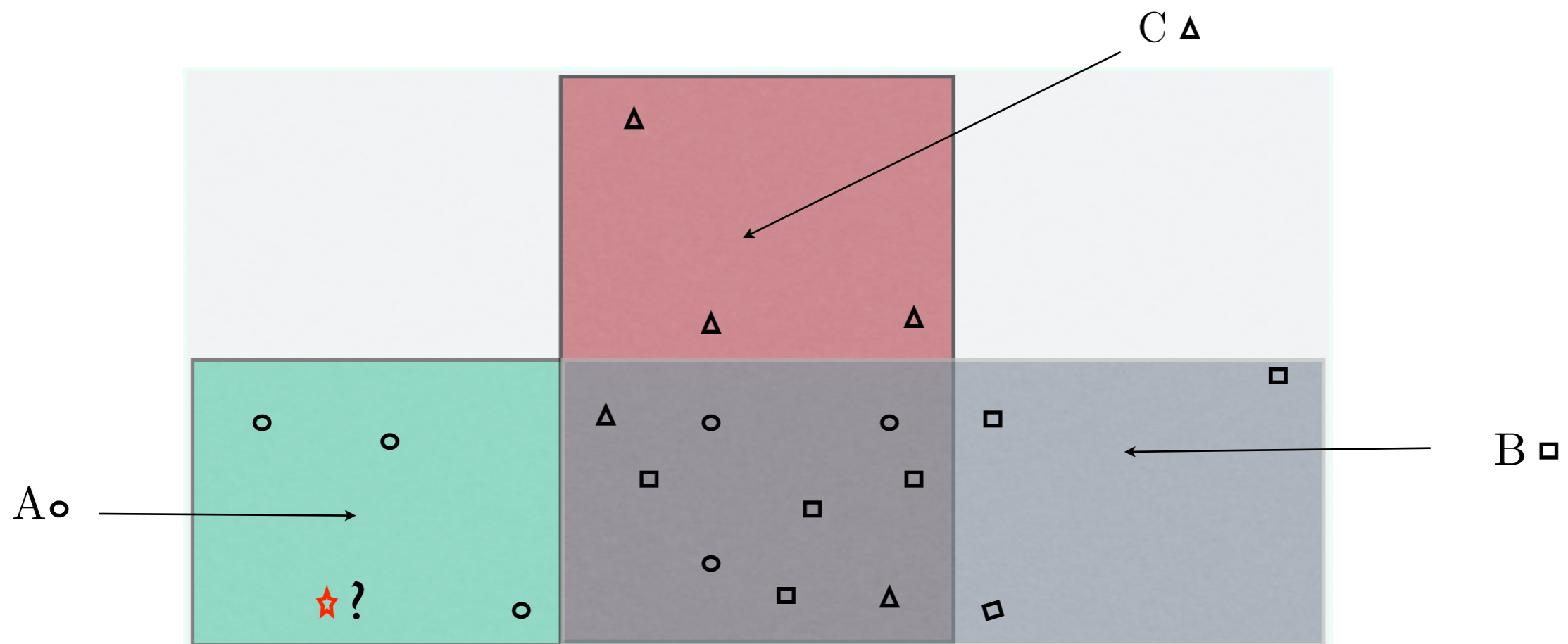
$$A = \{(x_1, x_2) \in \mathbb{R}^2 : 0 \leq x_1 < 2, 0 \leq x_2 \leq 1\}$$

$$A \wedge B \implies C$$

$$B = \{(x_1, x_2) \in \mathbb{R}^2 : 1 \leq x_1 < 3, 0 \leq x_2 \leq 1\}$$

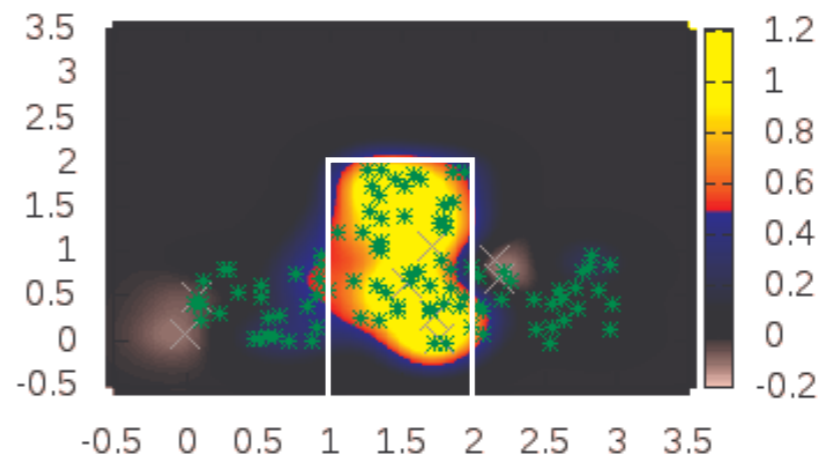
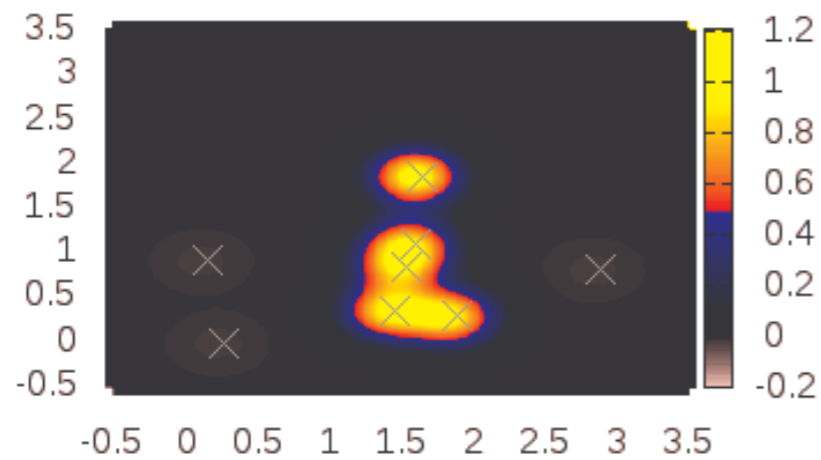
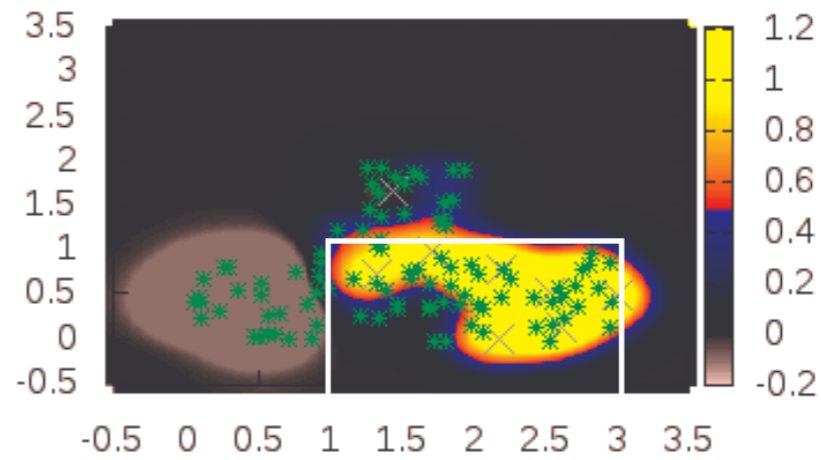
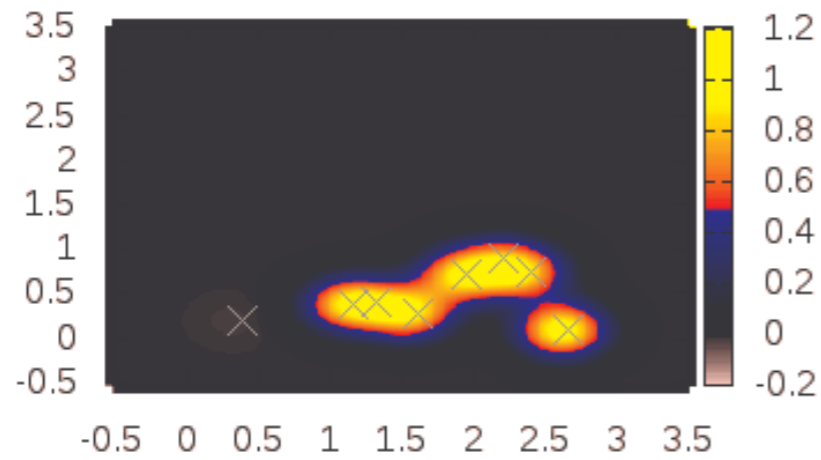
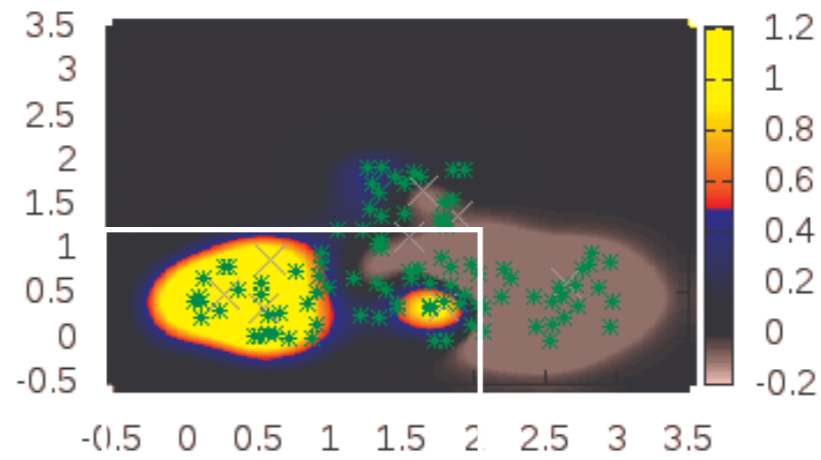
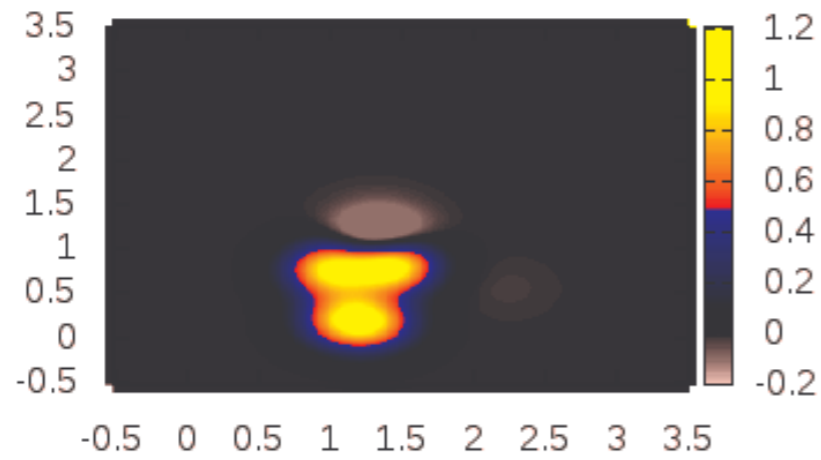
$$A \vee B \vee C$$

$$C = \{(x_1, x_2) \in \mathbb{R}^2 : 1 \leq x_1 < 2, 0 \leq x_2 \leq 2\}$$



with supervised examples only

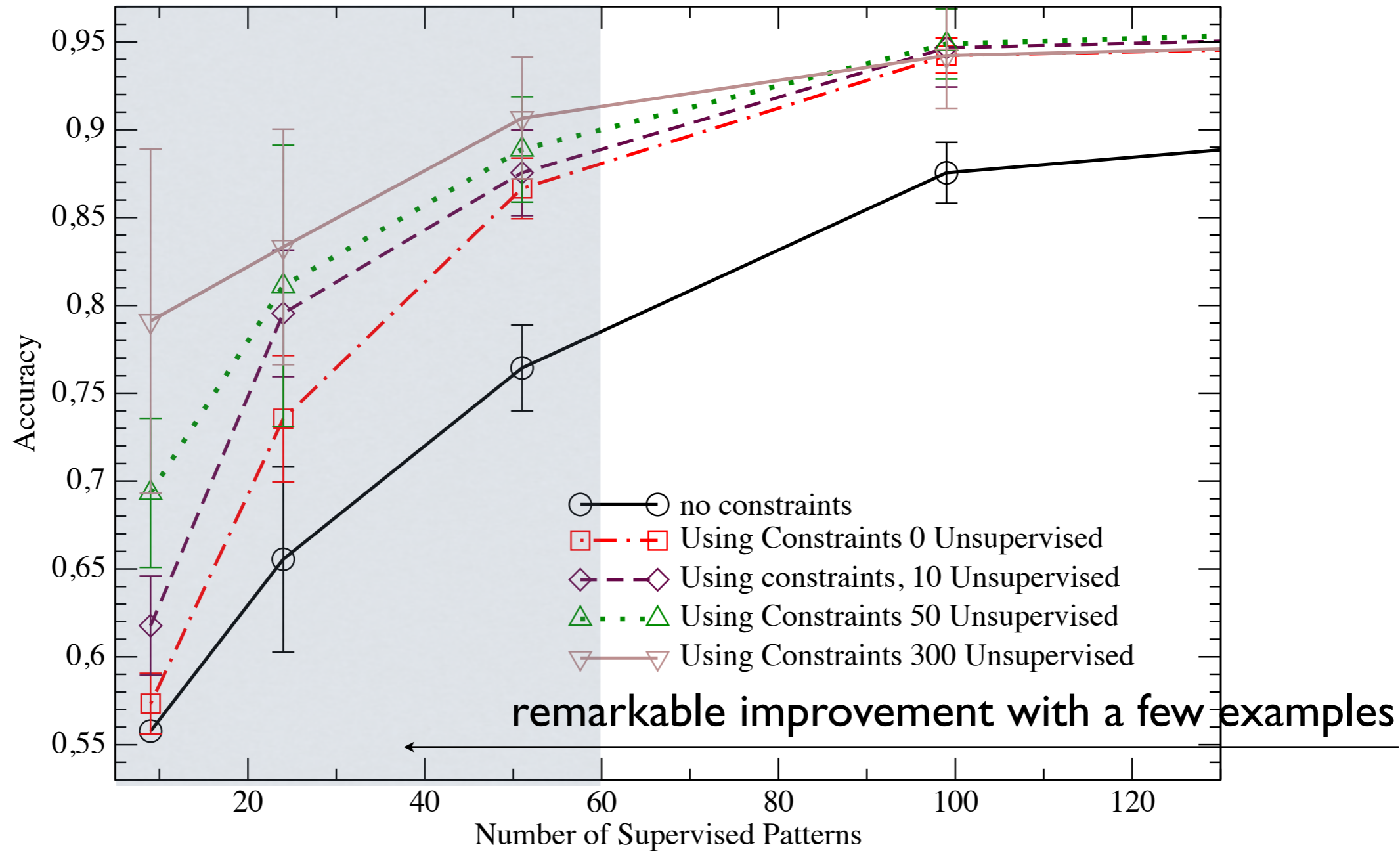
with logic constraints



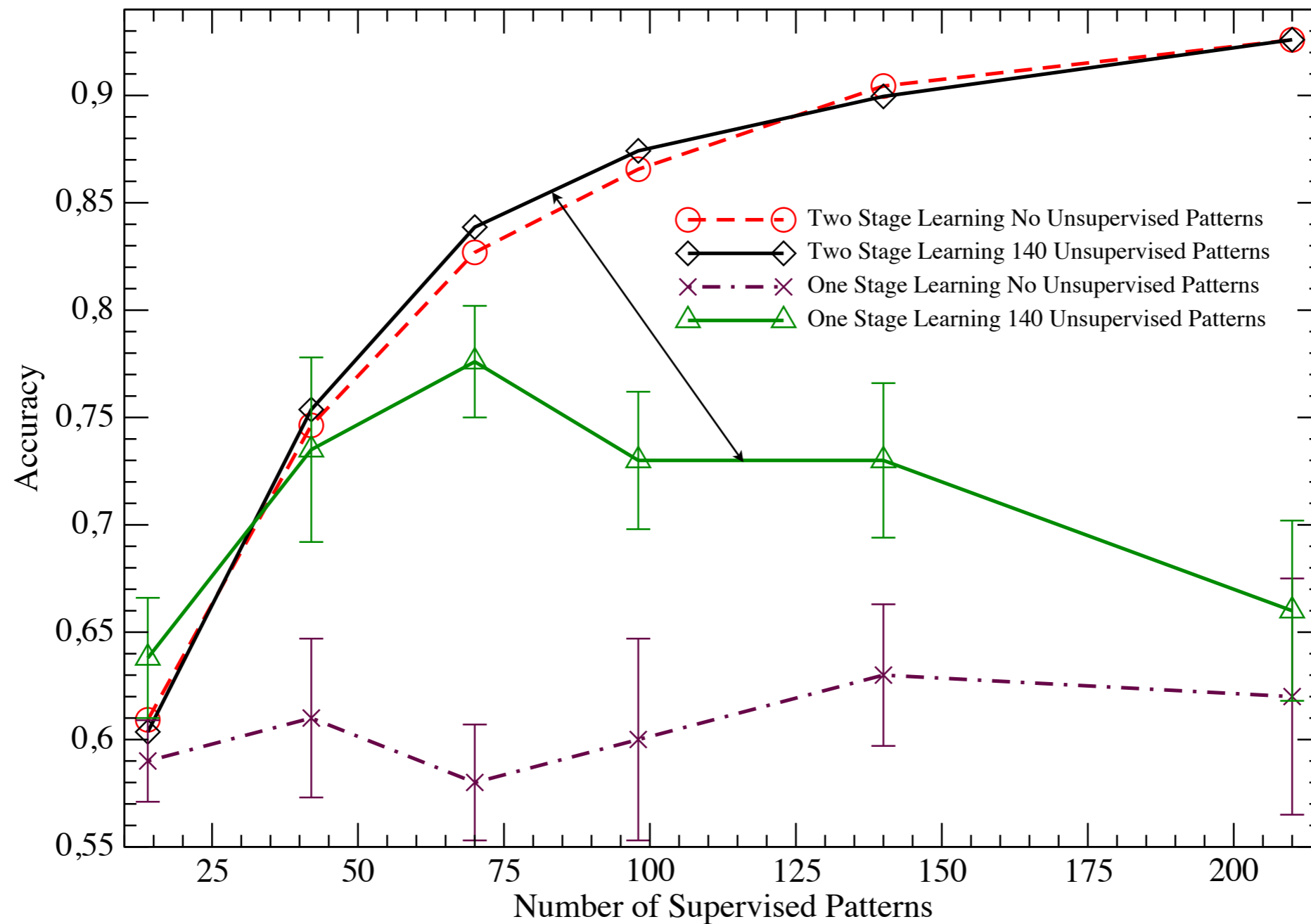
(a)

(b)

The effect of forcing logic constraints



Two-stages ...



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Constraint Check and Perceptual Logic

check of a new constraint $\mathcal{C} \models \phi$

$$\forall x \quad \phi(x, f^*(x)) = 0$$

$$\begin{aligned} \|\phi(\cdot, f^*(\cdot))\|^2 &= \left(\int_{\mathcal{X}} \phi^2(x, f^*(x)) dx \right) \\ &\propto \sum_{x_\kappa \in \mathcal{D}} \phi^2(x_\kappa, f^*(x_\kappa)) \end{aligned}$$

Basic assumption: \mathcal{D} is of “nearly null” measure in \mathcal{X}

Facing the intractability coming from formal logic formal

Poly check

$$\begin{aligned}\phi_1(f(x)) &= f_2(x)f_4(x) - f_3(x) + 1 = 0 \\ \phi_2(f(x)) &= f_1(x)f_3(x) + f_2^2(x) + 6 = 0 \quad \implies \\ \phi_3(f(x)) &= f_1^2(x) - f_4(x) = 0\end{aligned}$$

$\forall x$ (formal check)

$$f_2^2(x) + f_1(x)f_2(x)f_4(x) + f_1(x) + 6 = 0 \quad ?$$

$$\phi_1 : f_3 = 1 + f_2f_4$$

$$f_1f_3 = f_1 + f_1f_2f_4$$

$$\phi_2 : f_2^2 + f_1f_2f_4 + f_1 + 6 = 0 \quad \text{ok}$$

Learning from points and clauses

$$A = \{(x_1, x_2) \in \mathbb{R}^2 : 0 \leq x_1 < 2, 0 \leq x_2 \leq 1\}$$

$$B = \{(x_1, x_2) \in \mathbb{R}^2 : 1 \leq x_1 < 3, 0 \leq x_2 \leq 1\}$$

$$C = \{(x_1, x_2) \in \mathbb{R}^2 : 1 \leq x_1 < 2, 0 \leq x_2 \leq 2\}$$

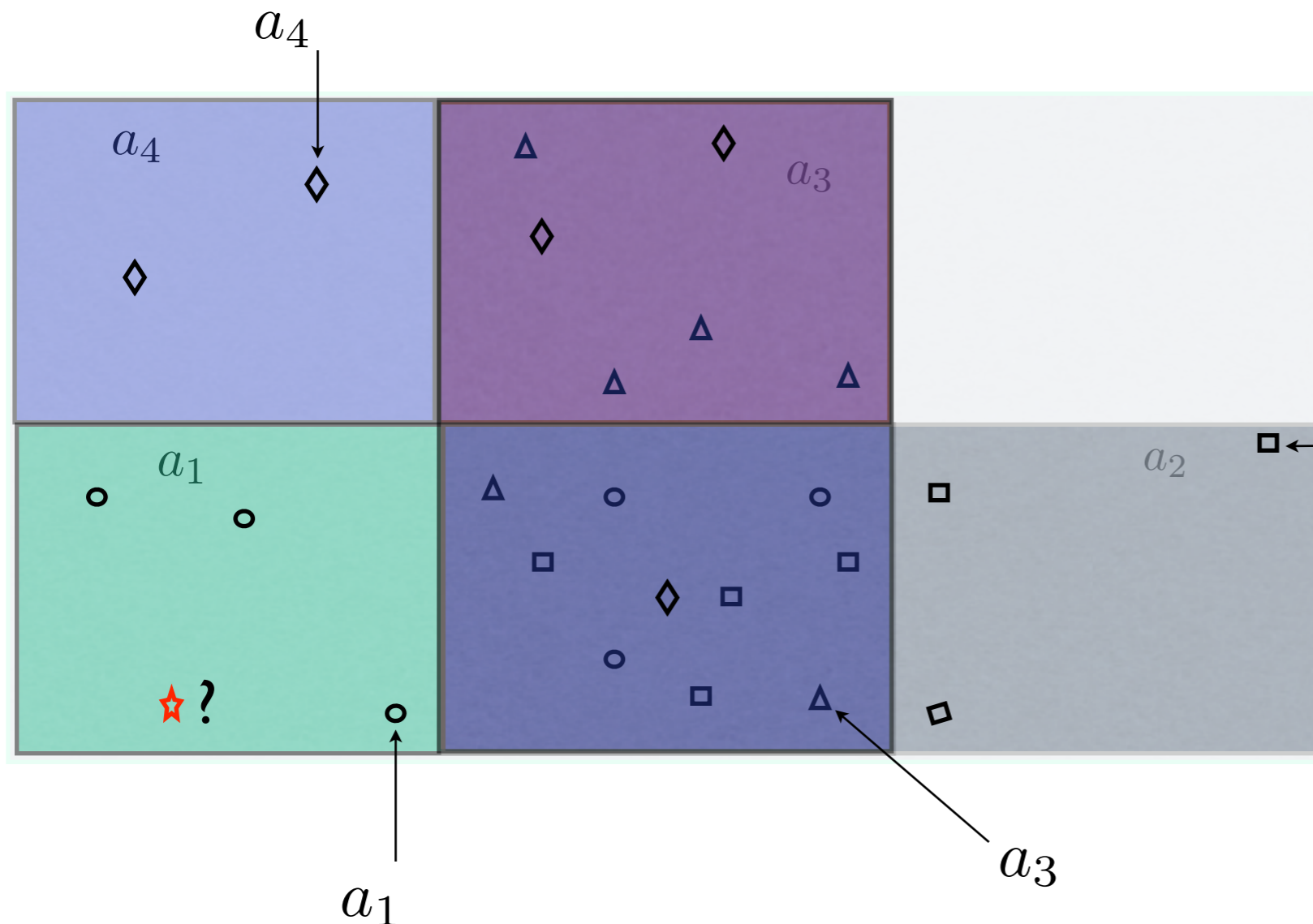
$$D = C \cup \{(x_1, x_2) \in \mathbb{R}^2 : 0 \leq x_1 \leq 1, 1 \leq x_2 \leq 2\}$$

“Knowledge Base”

$$a_1(x) \wedge a_2(x) \Rightarrow a_3(x)$$

$$a_3(x) \Rightarrow a_4(x)$$

$$a_1(x) \vee a_2(x) \vee a_3(x)$$

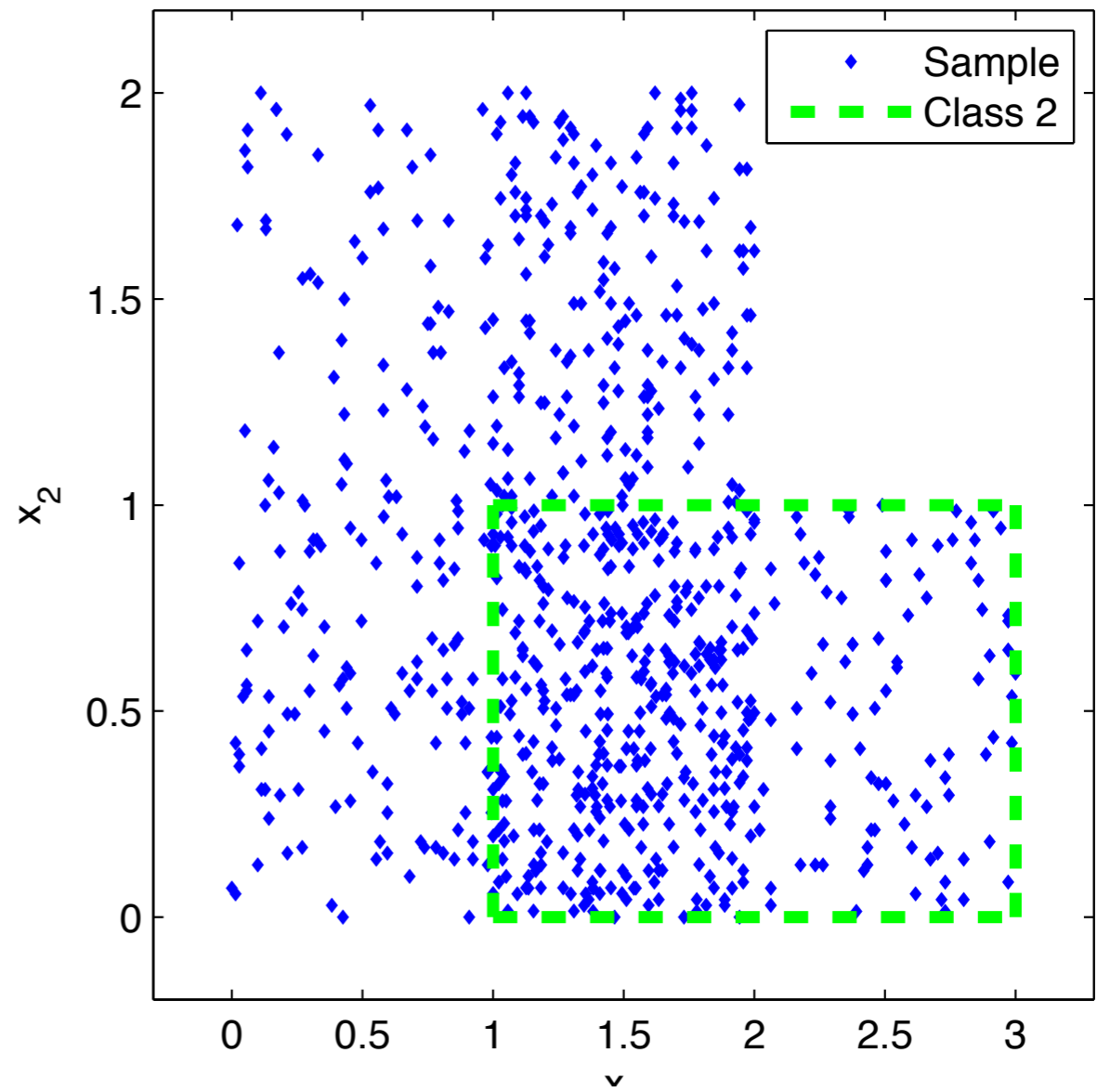
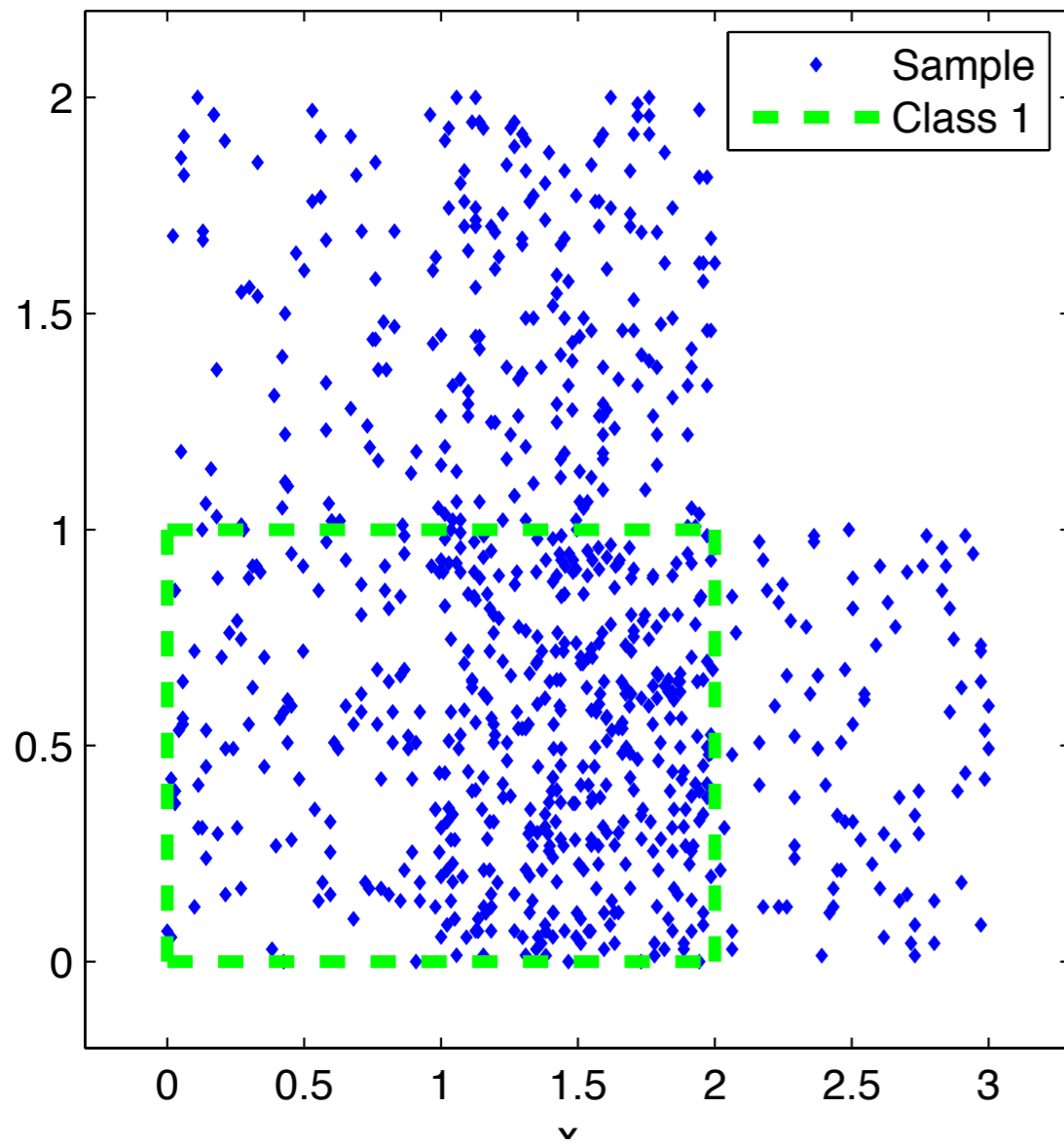


What can I deduce?

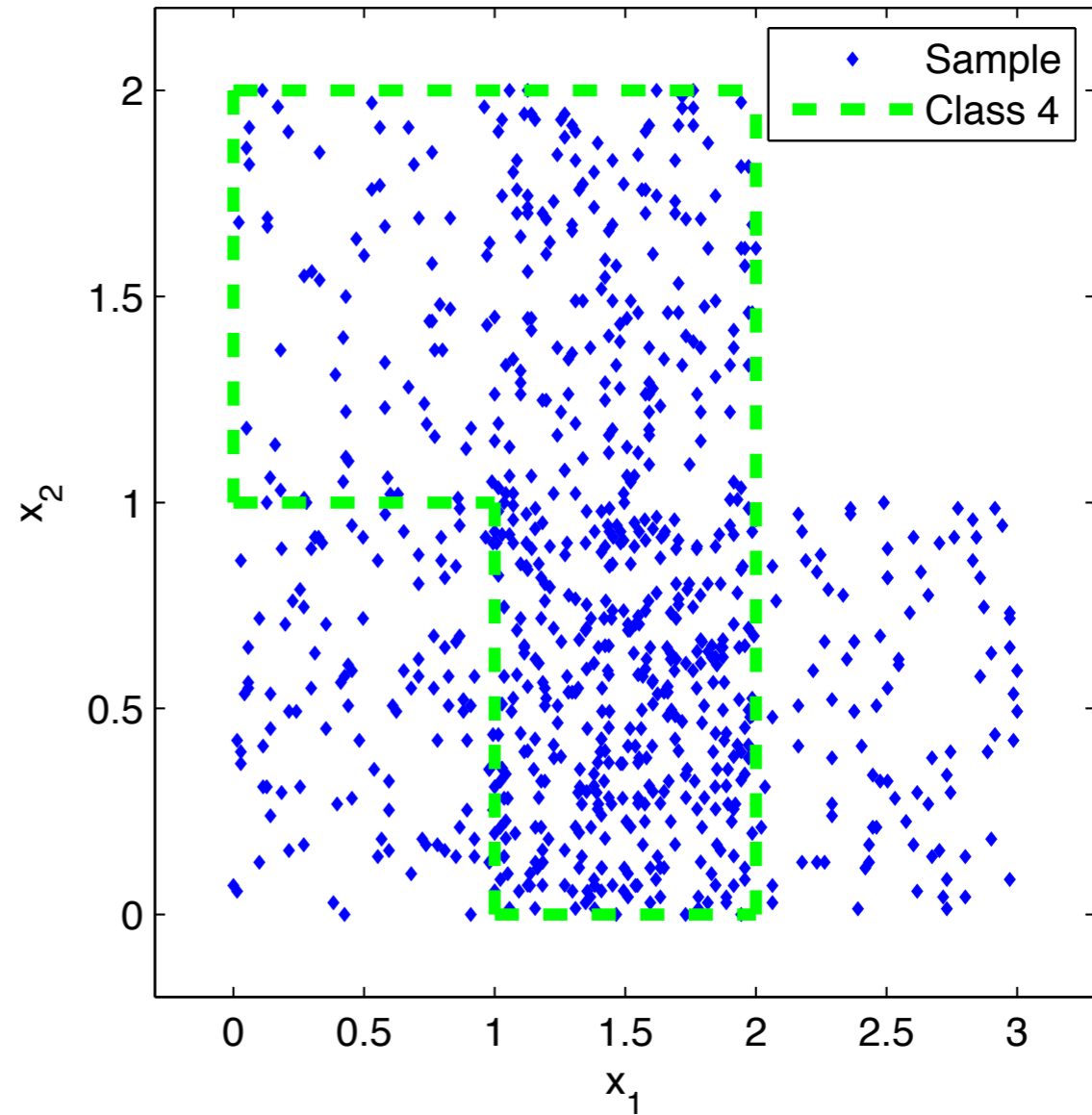
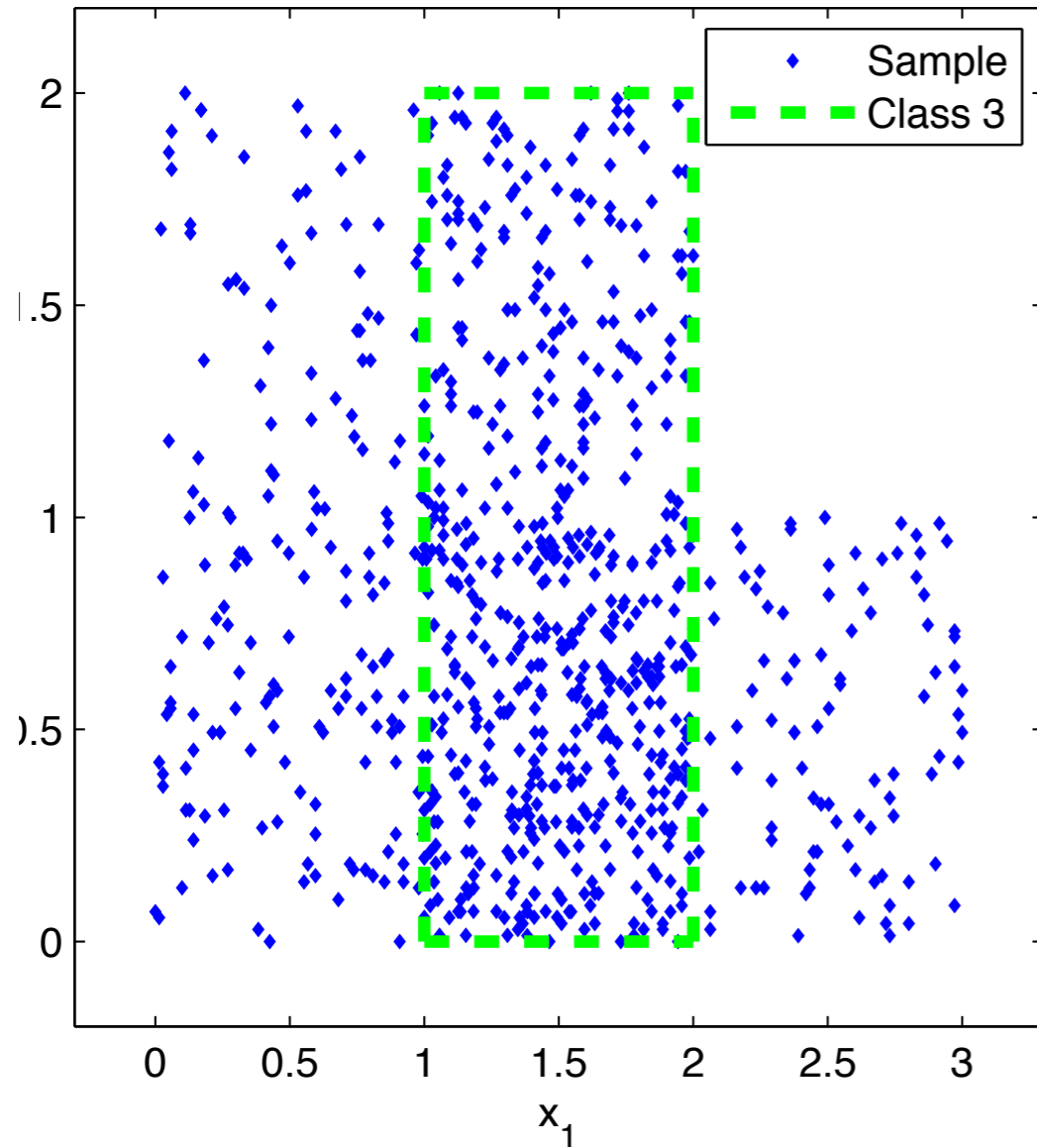
Can the points help deduction?

$$\mathcal{C} \models \phi$$

Checking (logic) constraints

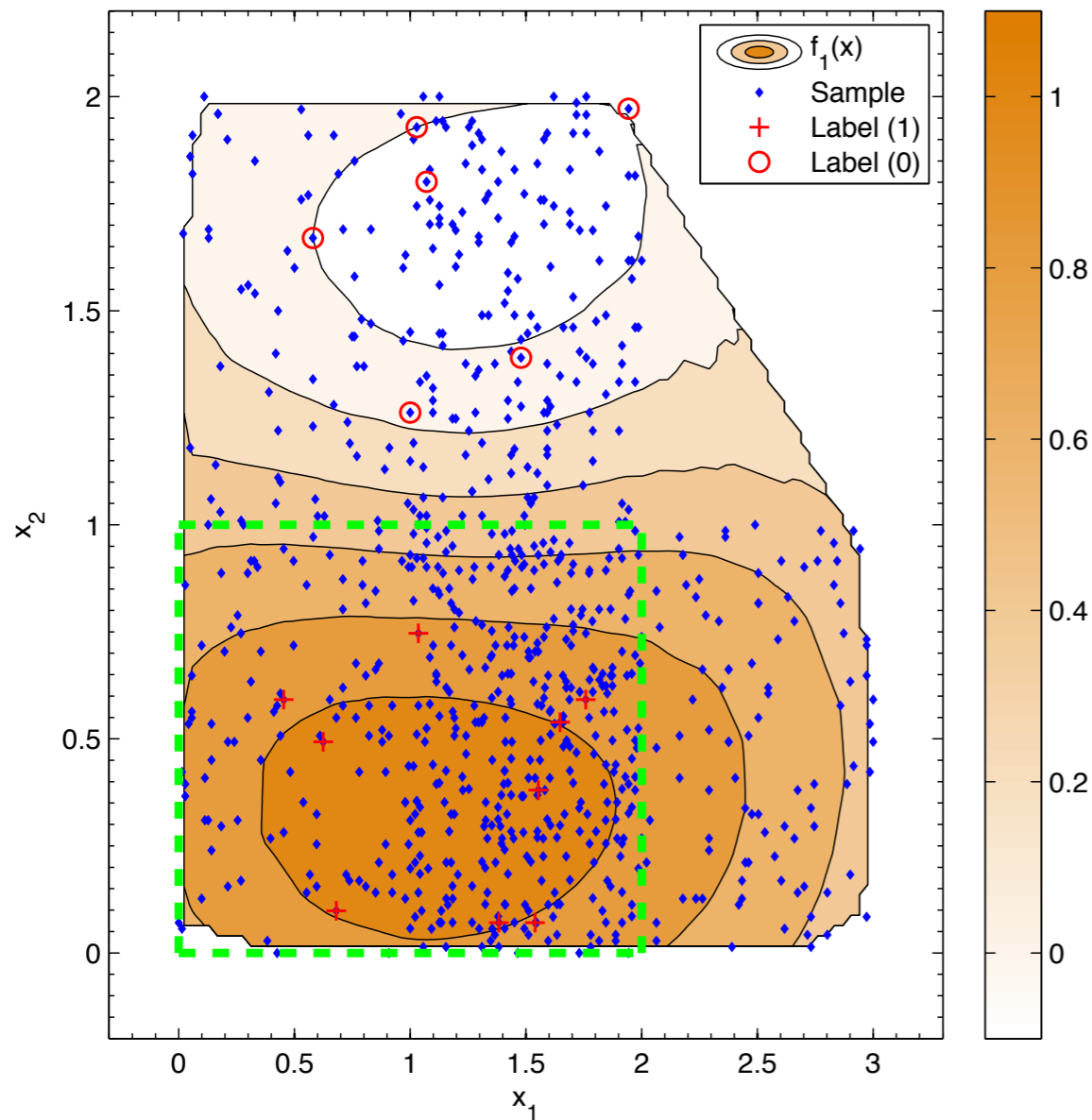


Checking (logic) constraints

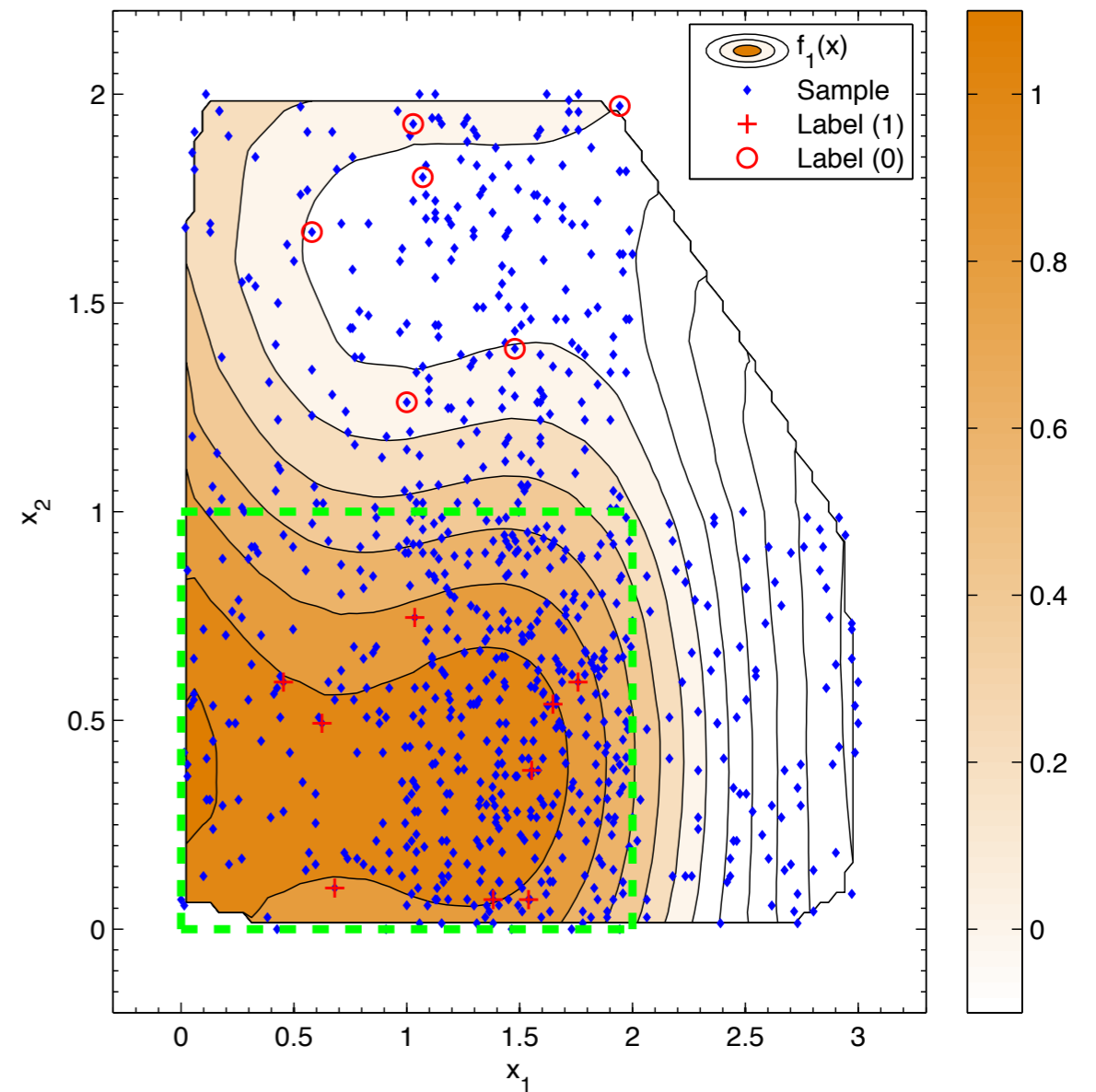


$$a_1(x) \rightsquigarrow f_1(x)$$

points only

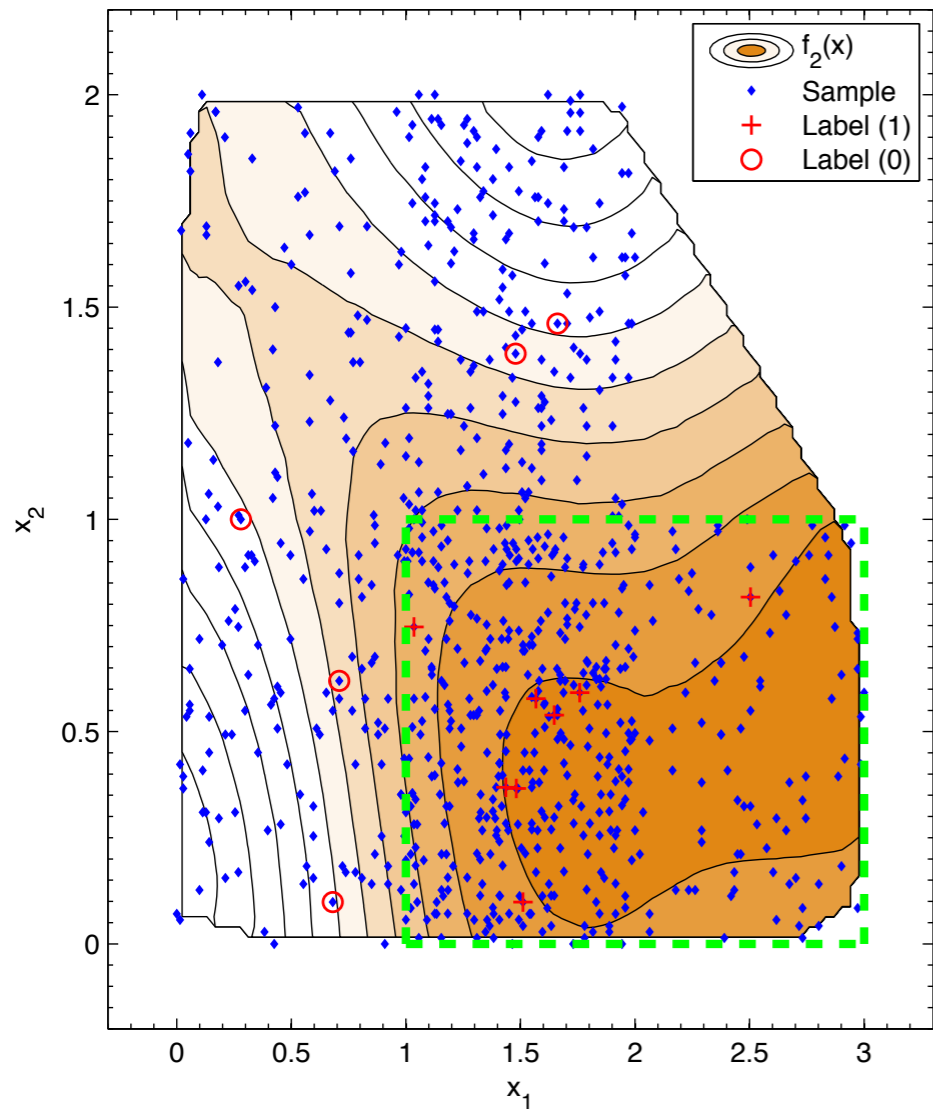


points and “logic rules”

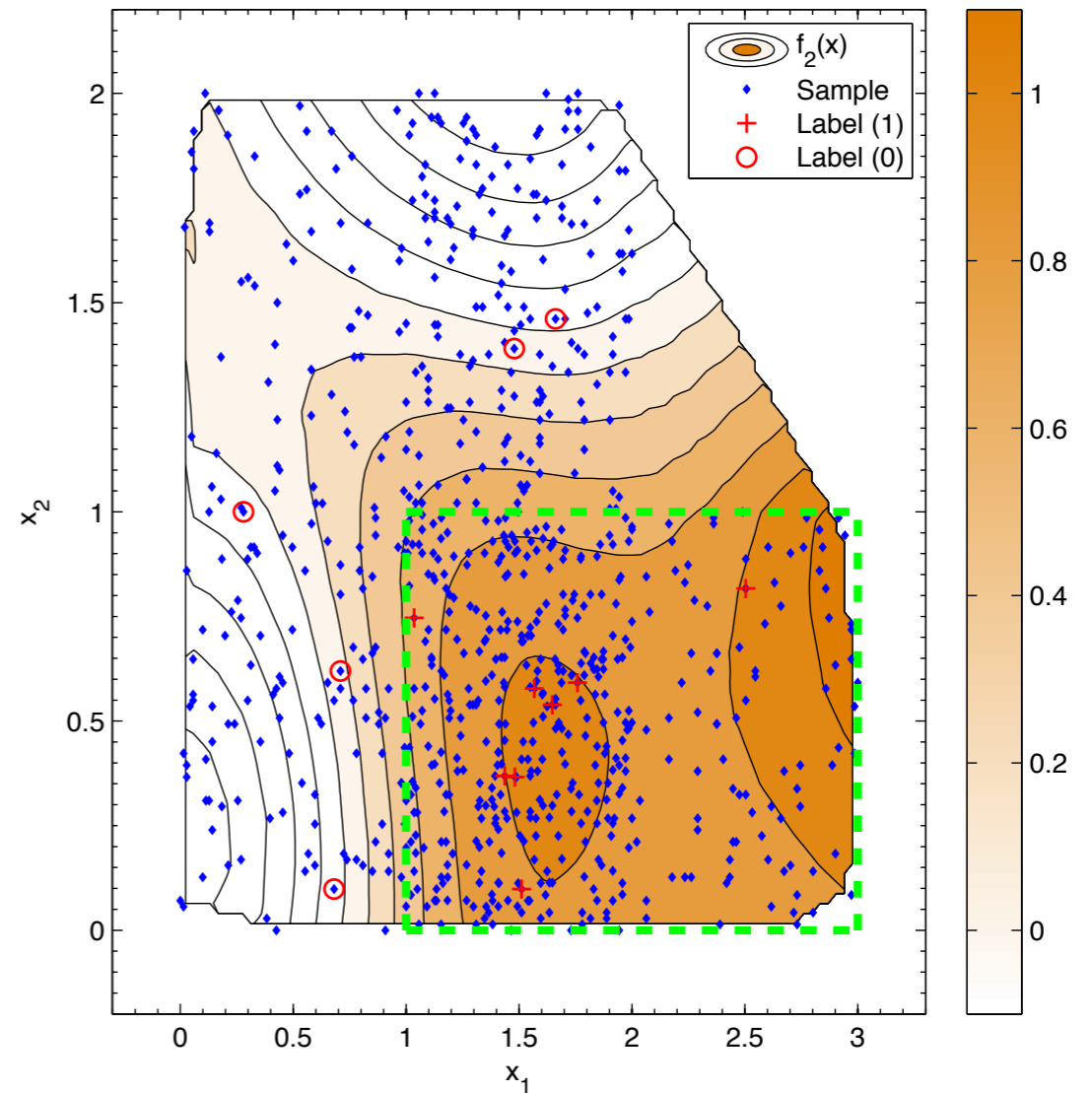


$$a_2(x) \rightsquigarrow f_2(x)$$

points only



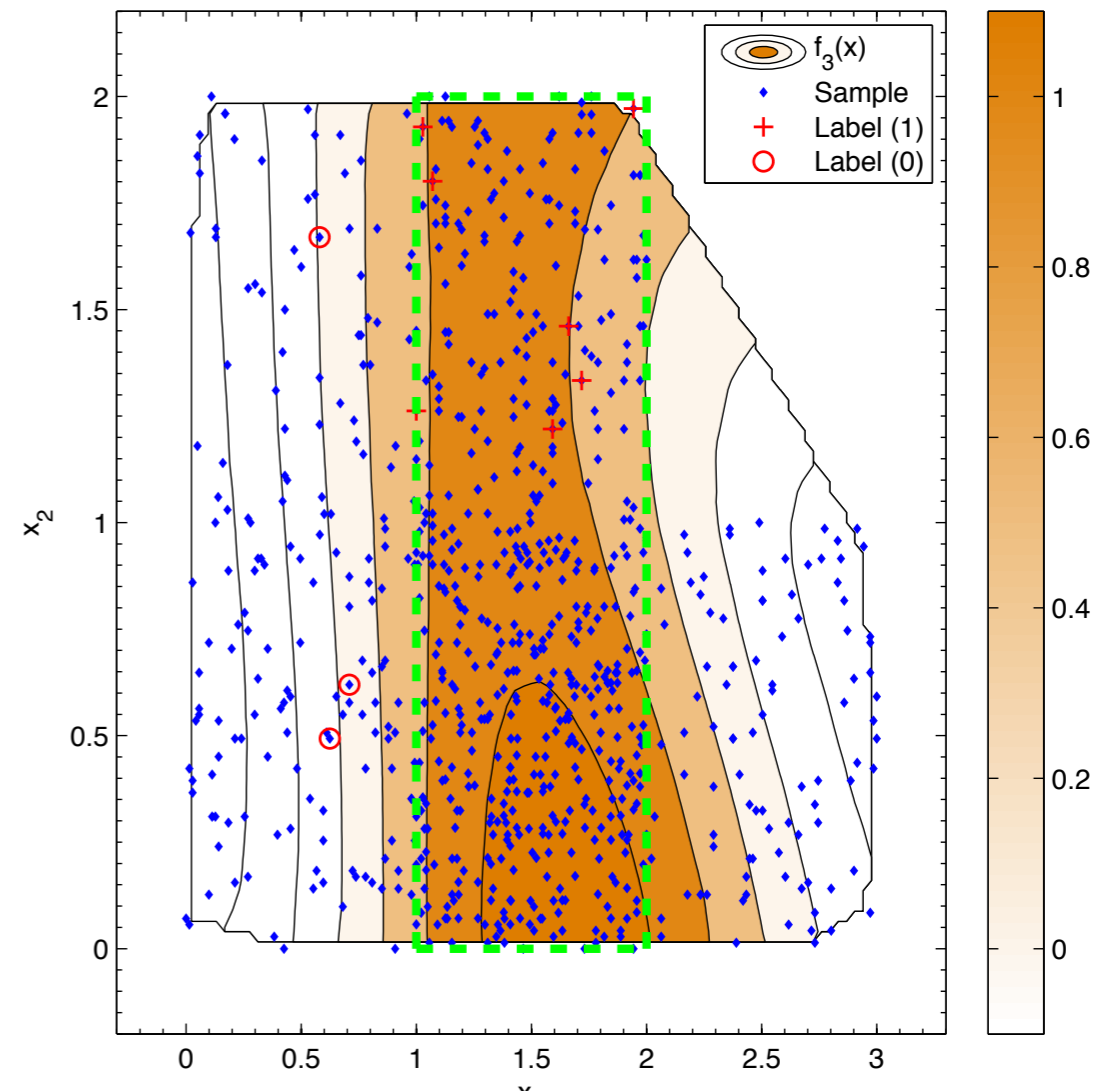
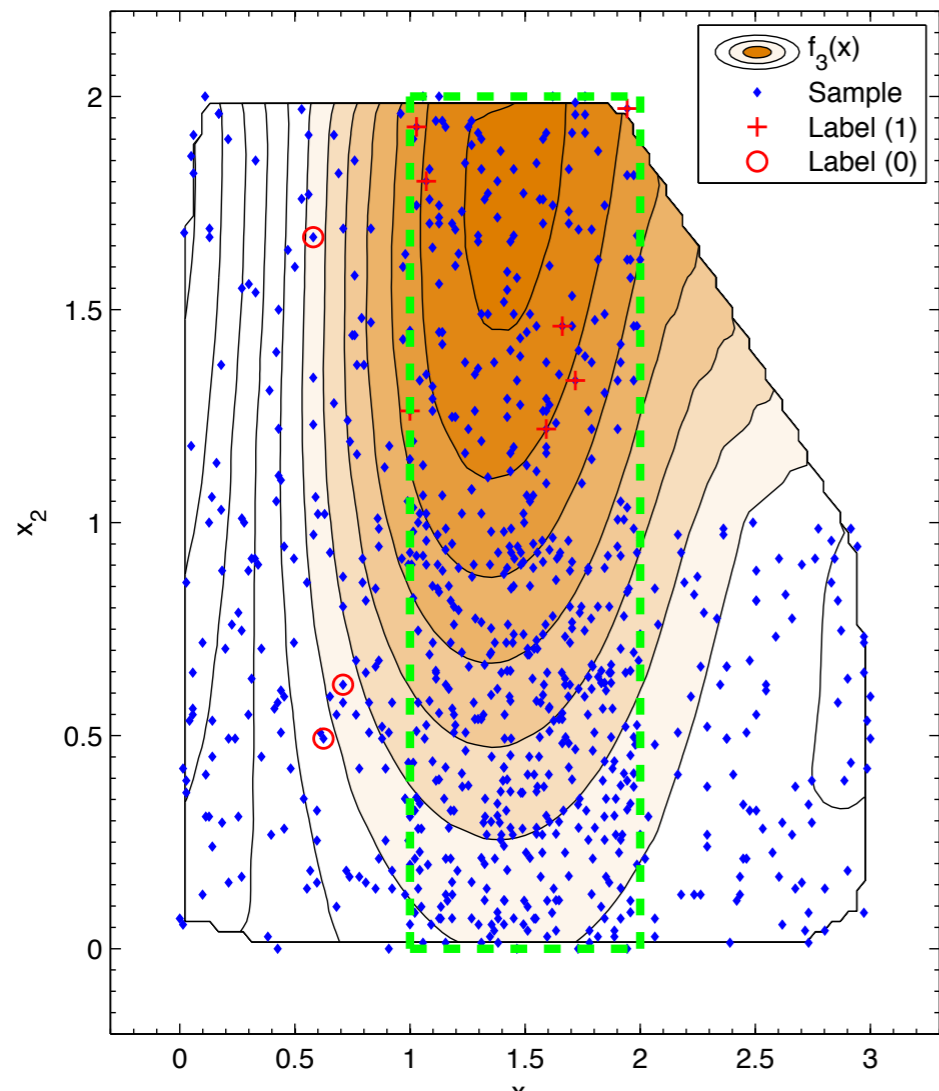
points and “logic rules”



$$a_3(x) \rightsquigarrow f_3(x)$$

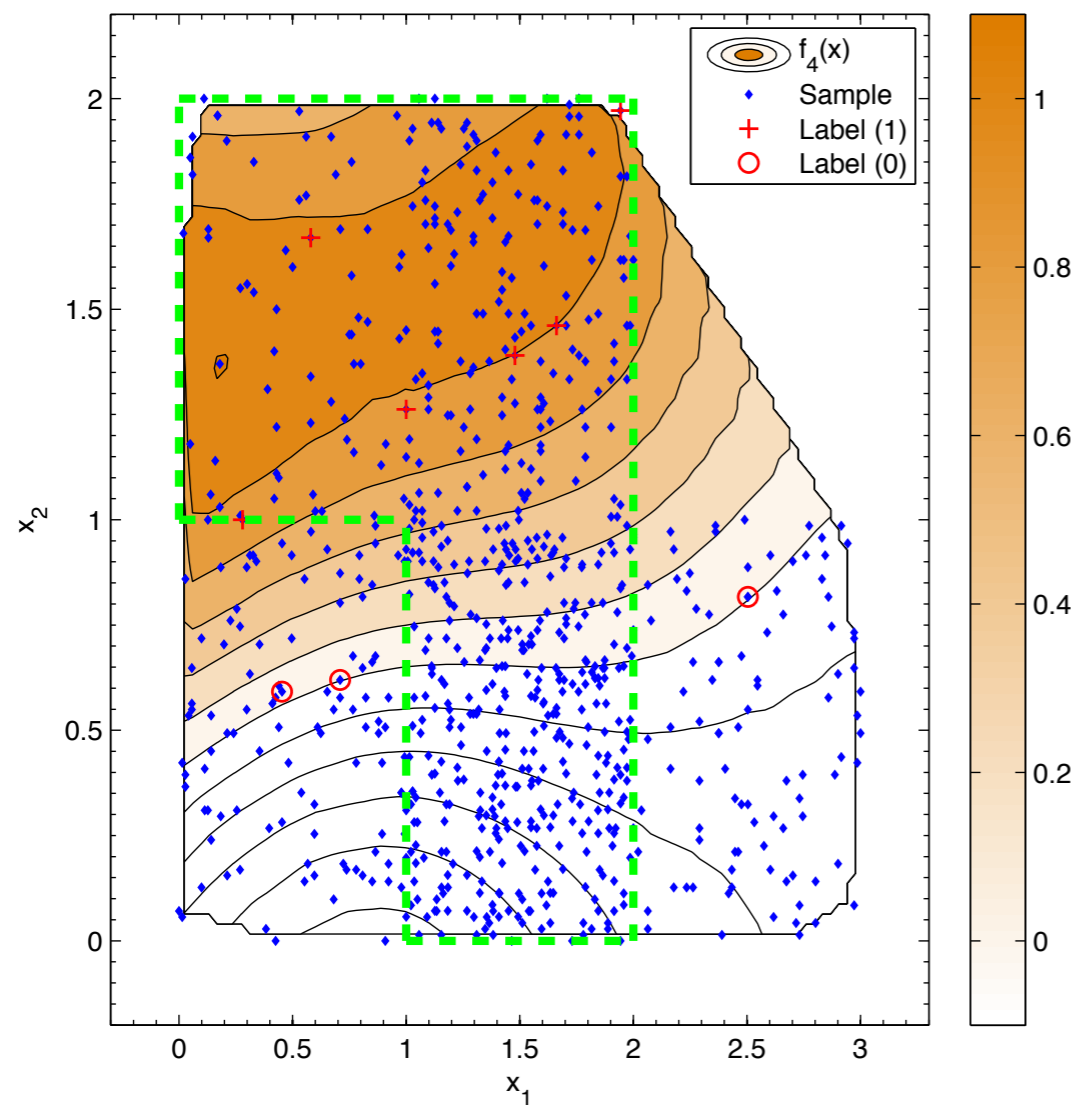
points only

points and “logic rules”

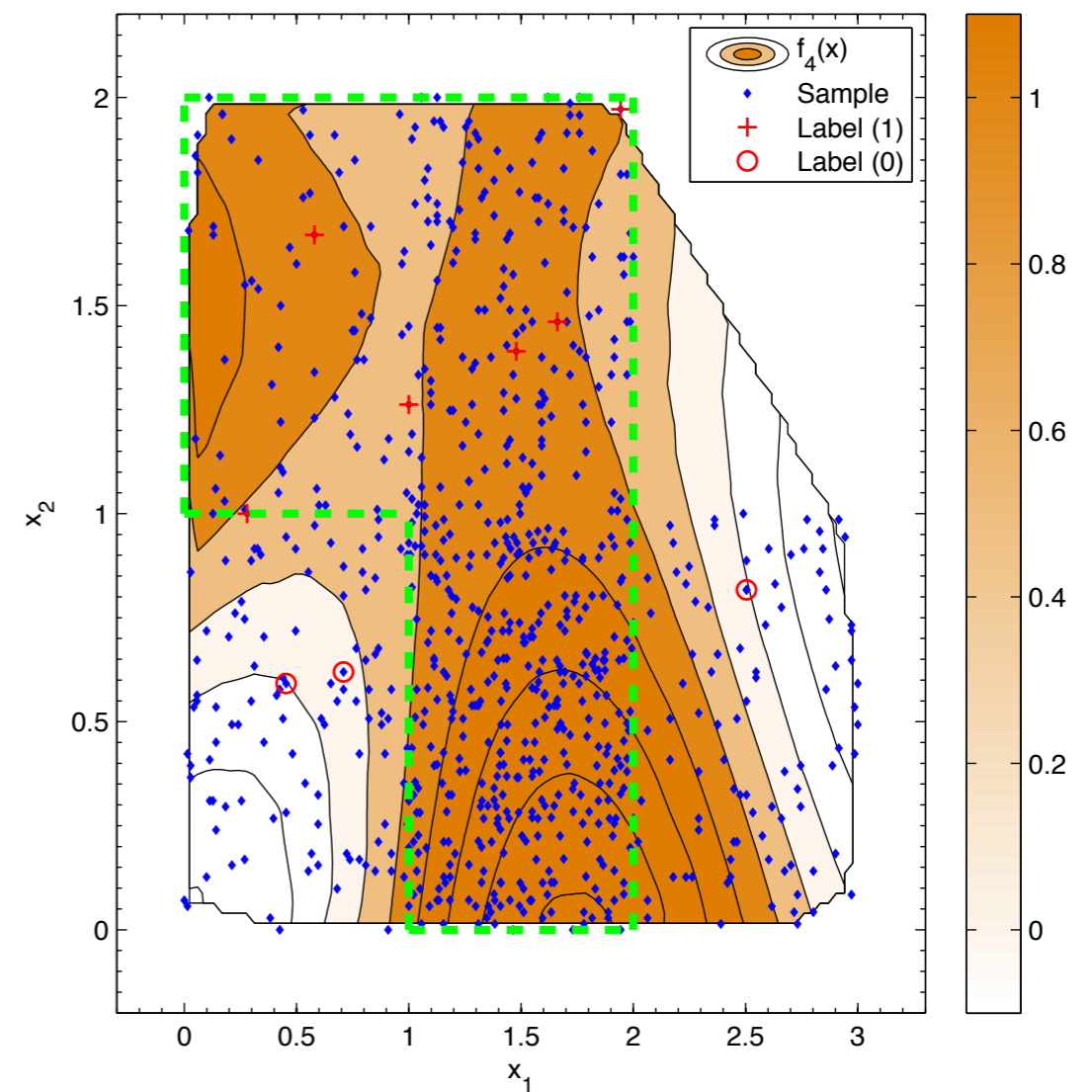


$$a_4(x) \rightsquigarrow f_4(x)$$

points only



points and “logic rules”

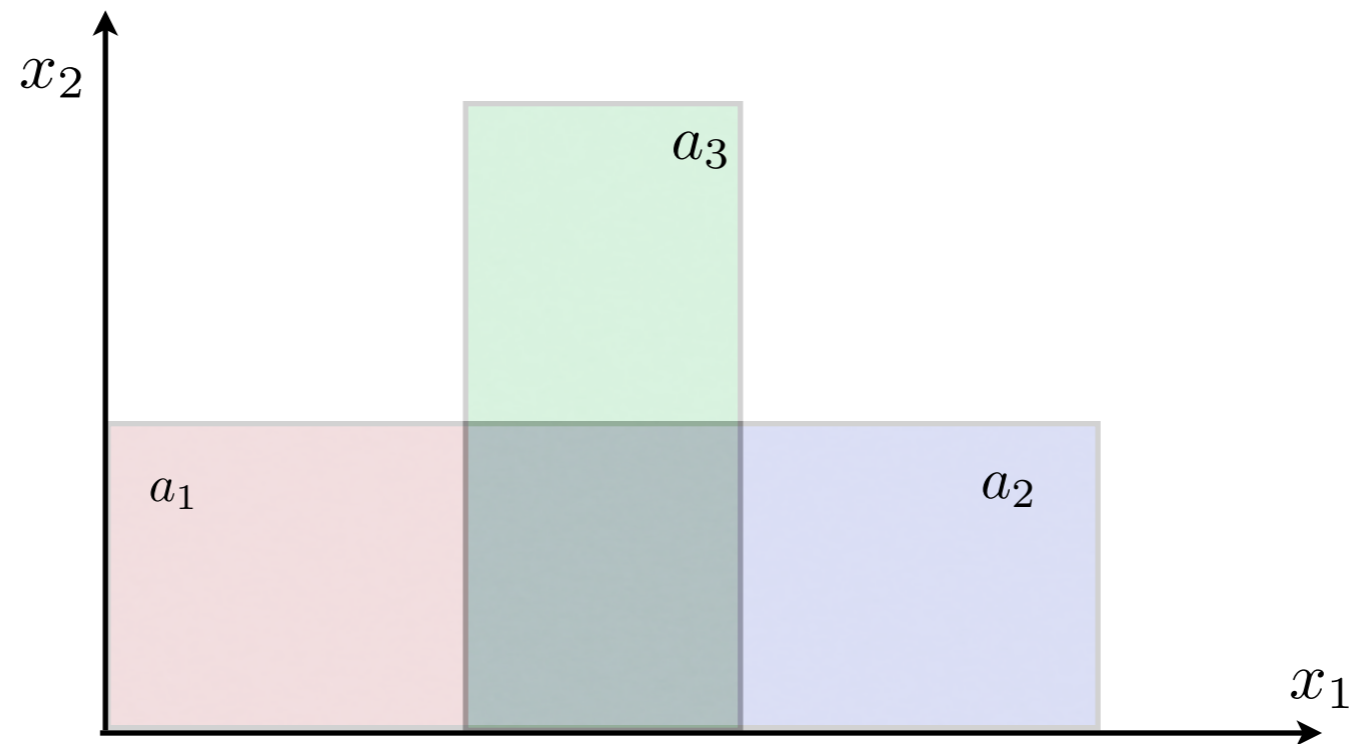


Checking in the environment!

$$a_1(x) \wedge a_2(x) \Rightarrow a_3(x)$$

$$a_3(x) \Rightarrow a_4(x)$$

$$a_1(x) \vee a_2(x) \vee a_3(x)$$



Formally false

?

but true in this environment!

$$a_1(x) \wedge a_3(x) \Rightarrow a_2(x)$$

$$a_3(x) \wedge a_2(x) \Rightarrow a_1(x)$$

$$a_1 = 1, \quad a_2 = 0 \quad a_3 = 1$$

$$a_1 = 0, \quad a_2 = 1 \quad a_3 = 1$$

Checking constraints

FOL clause	Category	Average Truth Value	
$a_1(x) \wedge a_2(x) \Rightarrow a_3(x)$	KB	98.26% (1.778)	
$a_3(x) \Rightarrow a_4(x)$	KB	98.11% (2.11)	
$a_1(x) \vee a_2(x) \vee a_3(x)$	KB	96.2% (3.34)	
$a_1(x) \wedge a_2(x) \Rightarrow a_4(x)$	LD	96.48% (3.76)	<div style="display: flex; align-items: center;"> <div style="margin-right: 10px;"> \checkmark \checkmark \checkmark </div> <div style="border-left: 1px solid black; border-right: 1px solid black; height: 100px; margin-right: 10px;"></div> <div style="text-align: center;"> <p>True</p> <hr style="border: 1px solid red;"/> <p>False</p> </div> </div>
$a_1(x) \wedge a_3(x) \Rightarrow a_2(x)$	ENV	91.32% (5.67)	
$a_3(x) \wedge a_2(x) \Rightarrow a_1(x)$	ENV	91.7% (4.57)	
$a_2(x) \wedge a_3(x) \Rightarrow a_4(x)$	LD	96.58% (4.13)	
$a_3(x) \Rightarrow a_1(x) \vee a_2(x) \vee a_4(x)$	LD	99.7% (0.54)	
$a_1(x) \wedge a_4(x)$	ENV	45.26% (5.2)	
$a_2(x) \vee a_3(x)$	ENV	78.26% (6.13)	
$a_1(x) \vee a_2(x) \Rightarrow a_3(x)$	ENV	68.28% (5.86)	
$a_1(x) \wedge a_2(x) \Rightarrow \neg a_4(x)$	ENV	3.51% (3.76)	
$a_1(x) \wedge \neg a_2(x) \Rightarrow a_3(x)$	ENV	27.74% (18.96)	
$a_2(x) \wedge \neg a_3(x) \Rightarrow a_1(x)$	ENV	5.71% (5.76)	

Based on fixed-point iteration

Conclusions

What's next?



examples are constraints!

there is no need to distinguish
perceptual and logic constraints

Do you want know more?

visit <https://sites.google.com/site/semanticbasedregularization/>

A s/w simulator will be released soon for public use. Drop me an e-mail (marco@dii.unisi.it) if you want to try it!

Developmental Agents

- What if constraints are not available?
- don't use all the info at once!
“easy-first” policy to select constraints?

reformulation based on information-theoretic principles for feature generation, constraint selection and generation